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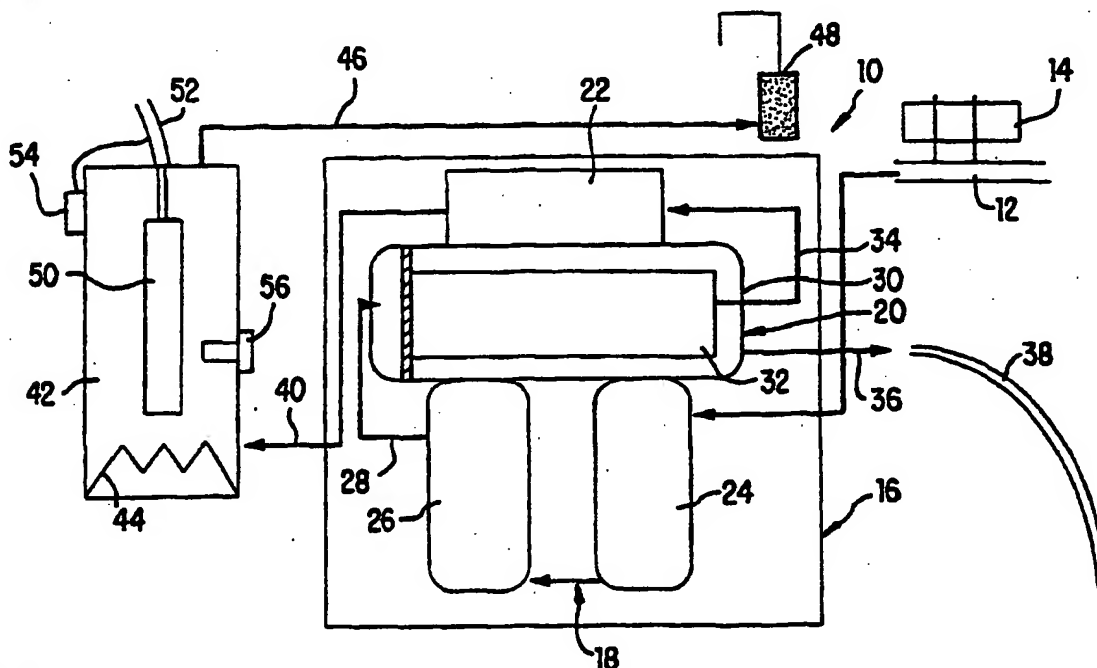
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INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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<p>(21) International Application Number: PCT/US94/08520 (22) International Filing Date: 28 July 1994 (28.07.94) (30) Priority Data: 106,253 13 August 1993 (13.08.93) US (71) Applicant: OLIN CORPORATION [US/US]; 350 Knotter Drive, P.O. Box 586, Cheshire, CT 06410-0586 (US). (72) Inventor: SHERMAN, Lawrence, C.; 3014 Ashland Terrace, Clearwater, FL 34621 (US). (74) Agents: ROSENBLATT, Gregory, S. et al.; Wiggin & Dana, One Century Tower, New Haven, CT 06508-1832 (US).</p>		<p>(81) Designated States: AU, BB, BG, BR, BY, CA, CN, CZ, FI, GE, HU, JP, KG, KP, KR, KZ, LK, LT, LV, MD, MG, MN, MW, NO, NZ, PL, RO, RU, SD, SI, SK, TJ, TT, UA, UZ, VN, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).</p> <p>Published <i>With international search report.</i> <i>With amended claims and statement.</i></p>

(54) Title: **WATER TREATMENT SYSTEM**



(57) Abstract

A system (10) for treating water in which the incoming effluent water is pre-filtered (18), passed through a reverse osmosis unit (20), post filtered (22) to remove taste and odor and then passed to a holding tank (42). The holding tank (42) is provided with a source of ultraviolet light (50) to provide disinfection in the holding tank (42) to eliminate bacterial growth prior to consumption of the water therein.

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WATER TREATMENT SYSTEM

This invention relates generally to a water treating system. More particularly, this invention relates to a water treatment system for purifying drinking water and which has particular application at the point of use.

In many instances, existing water supplies do not meet potable water standards. This is particularly true in many third world nations wherein the existing potable water supplies do not meet the potable water standards set by the World Health Organization.

In those cases where drinking water which meets the applicable standards is not available, many users purchase bottled water at premium prices to satisfy their need for safe potable water. However, such bottled water is relatively expensive and many individuals and families cannot afford the cost of obtaining water at such prices.

Accordingly, there is a need for a relatively inexpensive, compact water treating system for potable water, particularly one that can be installed at the point of use, for example in a home.

In view of the above, it is an object of the present invention to provide an improved water treating system.

More specifically, it is an object of the present invention to provide an improved water treating system for potable water for point of use applications.

A still more specific object of the present invention is to provide a relatively compact, potable water treating system for point of use applications.

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These and other objects are advantages of the present invention and may be achieved through the provision of a water treating system which includes pre-filter means for filtering influent water.

5 Reverse osmosis means is provided for removing ionic levels of contaminants from the pre-filtered water. A holding tank is provided for receiving the water from the reverse osmosis means. An ultraviolet light source is mounted in the holding tank for
10 providing ultraviolet disinfection for the water contained in the holding tank.

The method for treating water in accordance with the present invention comprises pre-filtering the water, passing the pre-filtered water through a
15 reverse osmosis unit to remove ionic contaminants, bacteria, virus and salt, and passing the treated water from the reverse osmosis unit to a holding tank. The process further comprises subjecting the water in the holding tank to ultraviolet light to
20 provide ultraviolet disinfection.

A better understanding of the invention may be had by reference to the following detailed description and to the accompanying drawing in which:

25 The Figure of the drawing shows a schematic diagram of a water treating system constructed in accordance with the present invention.

Referring to the drawing, which shows a schematic diagram of the system of the present
30 invention, the influent water enters the system under line pressure through a suitable infeed line 12 which may have an appropriate shutoff valve 14 therein. The source of such influent may be a

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municipal water supply or well where the water is supplied to the user isolator under pressure. Preferably, the pressure of the influent water entering the system 10 should be between about 0.3 MPa to about 0.7 MPa (40-100 psi).

The system includes an enclosure 16 which houses a pre-filter means 18, a reverse osmosis unit 20 and a post filter 22. The influent water passes to the pre-filtration means 18 through the line 12.

10 The pre-filtration means 18 may include two pre-filters 24 and 26. The first, or upstream, pre-filter 24 is of the type which will filter out 25 micron or larger solid contaminants. The second, or downstream, pre-filter 26 is of a type that will

15 filter out solid particles down to a size of 5 micron or less. The pre-filters 24 and 26 may each be of the cartridge type, about 25.4 cm (10 inches) long. Although two cartridge type pre-filters have been shown, other arrangements of pre-filtration may

20 be used so long as a 5 micron filtered influent enters the reverse osmosis unit 20. For example, the pre-filter means 18 may utilize sediment filters.

The pre-filtered water passes from the pre-filter means 18 through a line 28 within the enclosure 16 to the reverse osmosis unit 20. The reverse osmosis unit 20 may include a housing 30 in which is mounted a membrane element 32 through which the water passes. In reverse osmosis, the pressure of the incoming solution is greater than the osmotic pressure so that fresh water diffuses through the

30 membrane in the opposite direction to normal osmotic flow. The membrane element 32 maybe of a conventional type and may utilize spiral membrane

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elements as is well known in the art. A wide variety of materials are used for the membrane including polyamides, polyimides, as well as cellulose acetate. Alternatively, the membrane unit may also be of a tubular construction, also well known in the art. The membrane element 32, including the type of membrane used therein, may be of various types so long as an ionic level of contaminants are removed as well as bacteria, virus and salt.

10 The effluent, or treated water, from the reverse osmosis unit 20 is passed to the post-filter 22 by means of a line 34 within the enclosure 16. The post-filter is preferably a 25.4 cm (ten inch) granulated carbon filter. The post filter 22 serves to remove any residual taste and odor which may be present in the water. The reject water passes from the reverse osmosis unit 20 through a line 36 which extends out from the enclosure 16 and which may be connected to a suitable drain 38.

20 The pre-filter means 18, the reverse osmosis unit 20 and the post-filter 22 are commercially available as a packaged units within a suitable enclosure. For example, one such unit which is suitable for the present system is produced by Alamo Water Refiners of San Antonio, Texas, United States of America.

30 The treated water exits the enclosure 16 through a line 40 extending from the post-filter 22 within the enclosure 16. The treated water enters a holding tank 42 to which the other end of the line 40 is connected. The tank 42 is designed to hold between about 11.3 dm³ to about 18.9 dm³ (3-5 gallons) of treated water. The holding tank 42 is

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provided with a diaphragm 44 at its bottom which provides pressure within the tank 42. This pressure serves to force the treated water from the holding tank 42 through a line 46 to a tap or faucet 48 from which the water may be dispensed upon operation by a user in the usual manner. The diaphragm may be of a rubber material such as butyl rubber.

An ultraviolet light source 50, connected to an appropriate source of electricity by means of electrical connector 52, is mounted within the holding tank 42 above the diaphragm 44. The ultraviolet source may be an elongated ultra violet lamp which is mounted so that its axis of elongation is perpendicular to the diaphragm. This prevents direct wave length interaction from the ultraviolet source with the diaphragm which could result in degradation of the diaphragm. The ultraviolet source should be sufficient to provide ultraviolet disinfection of the water within the holding tank 42 to eliminate bacterial growth prior to the consumption of the potable water. By way of an example, the ultraviolet source may be a 25.4 cm (ten inch), quartz jacketed, 1.9 cm (3/4 inch), 254 nanometers (NM), 30,000 microwatts/cm²/second lamp. Standard, commercially available lamps, may be used for this purpose. One such ultraviolet source meeting the above specifications is manufactured by Ideal Horizons of Rutland, Vermont, United States of America. The lamp is connected through the electrical connector 52 to an appropriate power source which will depend upon the normal usage in the country or location where the system is being utilized.

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The system may also include a timer 54, which may be analog or digital, to provide a visual indication of the length of time that the ultraviolet light source 50 has been in operation.

5 The timer 54 may be electrically connected the electrical source going to the ultraviolet light source 50. Additionally, an ultraviolet light sensor 56 may be mounted in the holding tank 42 to measure the ultraviolet intensity. The sensor 56
10 may preferably be a photocell provided with a digital or analog readout.

In operation, with the valve 14 open, the influent enters the treating system within the enclosure 16 through line 12 and passes to the
15 pre-filter means 18. The pre-filter means 18 filters out the solid contaminants down to five microns. The pre-filtered water then passes through line 28 into the reverse osmosis housing 30 and passes through the membrane element 32. The
20 purified water passes from the reverse osmosis unit 20 through the post-filter 22 while the reject water is discharged to the drain 38 through the line 36.

The effluent then passes from the carbon filter post-filter 22 which serves to remove objectionable
25 taste and odor to the holding tank 42 in which the water is held until used. The ultra-violet lamp 50 within the tank 42 provides an ongoing disinfectant preventing the holding tank from being a source of biological contamination. The water within the
30 holding tank 52 is maintained under pressure by the diaphragm 46 so that when a user wishes to obtain potable water, the faucet 48 may be actuated

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whereupon purified water will pass from the holding tank 42 through line 48 and out of the faucet 48.

By way of specific example, a system designed to provide 45.4 dm³ (12 gallons) per day of purified water with a 25% recovery rate may use two pre-filters, the first being a 25 micron-25.4 cm (10 inch) sediment filter with the second being in a 5 micron-25.4 cm (10 inch) sediment filter. The post filter may be a 25.4 cm (10 inch) granulated carbon type filter. The reverse osmosis unit may utilize a spiral wound, polyacetate membrane. A unit enclosing the pre-filtration means 18, reverse osmosis unit 20 and post-filter 22 may measure about 43 cm (17 inch) high by 36 cm (14 inch) wide by 14 cm (5.5 inch) deep and weigh about 12 kg (26 pounds).

The system is designed to operate under an infeed water pressure of from about 0.3 MPa to 0.7 MPa (40-100 psi) at a temperature of from about 4°C to about 27°C (40°-80°F). Ideally, the feed water pH should be between about 4 to about 9. The holding tank may be designed to hold 12.1 dm³ (3.2 gallons) and may be outfitted with an ultra violet source and diaphragm as described above. With a system of this type the ultra violet light source will be operated 24 hours a day.

With the above described system, an effective and compact system is provided to produce potable water at a point of use of location. The system purifies the influent and stores it under conditions to insure disinfection until ready to use.

While reference has been made above to a specific embodiment of the invention, it is apparent

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that many changes, modifications and variations can
be made without departing from the inventive concept
disclosed. Accordingly, it is intended to embrace
all such changes, modifications and variations that
5 fall within the spirit and broad scope of the
appended claims.

WHAT IS CLAIMED IS:

1. A system (10) for treating water characterized by:

- (a) pre-filter (18) for filtering influent
5 water,
- (b) reverse osmosis unit (20) for removing ionic level contaminants from the pre-filtered water,
- (c) a holding tank (42) for receiving water
10 from said reverse osmosis unit (20),
- (d) a source of ultraviolet light (50) within said holding tank (42) for providing ultraviolet disinfection for the water contained therein, and
- (e) outlet (48) from said holding tank (42)
15 for dispensing potable water.

2. The system (10) of claim 1 characterized in that a post-filter (22) is positioned between the reverse osmosis unit (20) and the holding tank (42) for filtering the odor and taste of the water
20 emanating from the reverse osmosis unit (20).

3. The system (10) of claim 1 characterized in that said holding tank (42) maintains the water contained therein under pressure.

4. The system (10) of claim 3 characterized in
25 that a pressure maintaining diaphragm (44) is mounted within said holding tank (42).

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5. The system (10) of claim 4 characterized in that said ultraviolet light source (50) comprises an elongated ultraviolet light mounted within said holding tank (42), said ultra-violet light (50) having its axis of elongation extending perpendicular to the diaphragm (44).

6. The system (10) of claim 1 characterized in that said pre-filter (18) comprises a plurality of pre-filters (24,26) positioned upstream of said reverse osmosis unit (20).

7. The system (10) of claim 1 characterized in that said reverse osmosis unit (20) is connected to a drain (38) to provide for removal of reject water.

8. The system (10) of claim 2 characterized in that said post-filter (22) is a granulated carbon filter.

9. The system (10) of claim 1 characterized in that a timer (54) indicates the length of time the ultraviolet light source (50) is in operation.

10. The system (10) of claim 1 characterized in that an ultraviolet light sensor (56) is mounted in said holding tank (42).

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11. A method of treating water characterized by pre-filtering (18) said water, passing said pre-filtered water through a reverse osmosis unit (20) to remove ionic contaminants, bacteria, virus and salt, passing the water from said reverse osmosis unit (20) to a holding tank (42), and subjecting said water within said holding tank (42) to ultraviolet light (50) while said water remains contained within the holding tank (42).

10 12. The method of claim 11 characterized in that the water exiting from said reverse osmosis unit (20) is filtered (22) prior to its passing into said holding tank (42) to remove objectionable odor and taste.

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AMENDED CLAIMS

[received by the International Bureau on 29 December 1994 (29.12.94);
original claims 3,4,11 and 12 cancelled; original claims 1 and 5 amended;
remaining claims unchanged (2 pages)]

1. A system for treating water characterized by:
 - (a) a pre-filter (18) for filtering influent water,
 - 5 (b) a reverse osmosis unit (20) for removing ionic level contaminants from the pre-filtered water,
 - (c) a holding tank (42) for receiving water from said reverse osmosis unit (20),
 - 10 (d) a source of ultraviolet light (50) within said holding tank (42) for providing sufficient ultraviolet disinfection to eliminate bacterial growth in the water contained therein, wherein said holding tank (42) maintains the water contained
 - 15 therein under pressure by means of a pressure maintaining diaphragm (44) mounted within said tank (42), and
 - (e) an outlet (48) from said holding tank (42) for dispensing potable water.
- 20 2. The system (10) of claim 1 characterized in that a post-filter (22) is positioned between the reverse osmosis unit (20) and the holding tank (42) for filtering the odor and taste of the water emanating from the reverse osmosis unit (20).

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5. The system (10) of claim 1 characterized in that said ultraviolet light source (50) comprises an elongated ultraviolet light mounted within said holding tank (42), said ultra-violet light (50) having its axis of elongation extending perpendicular to the diaphragm (44).

6. The system (10) of claim 1 characterized in that said pre-filter (18) comprises a plurality of pre-filters (24,26) positioned upstream of said reverse osmosis unit (20).

7. The system (10) of claim 1 characterized in that said reverse osmosis unit (20) is connected to a drain (38) to provide for removal of reject water.

8. The system (10) of claim 2 characterized in that said post-filter (22) is a granulated carbon filter.

9. The system (10) of claim 1 characterized in that a timer (54) indicates the length of time the ultraviolet light source (50) is in operation.

10. The system (10) of claim 1 characterized in that an ultraviolet light sensor (56) is mounted in said holding tank (42).

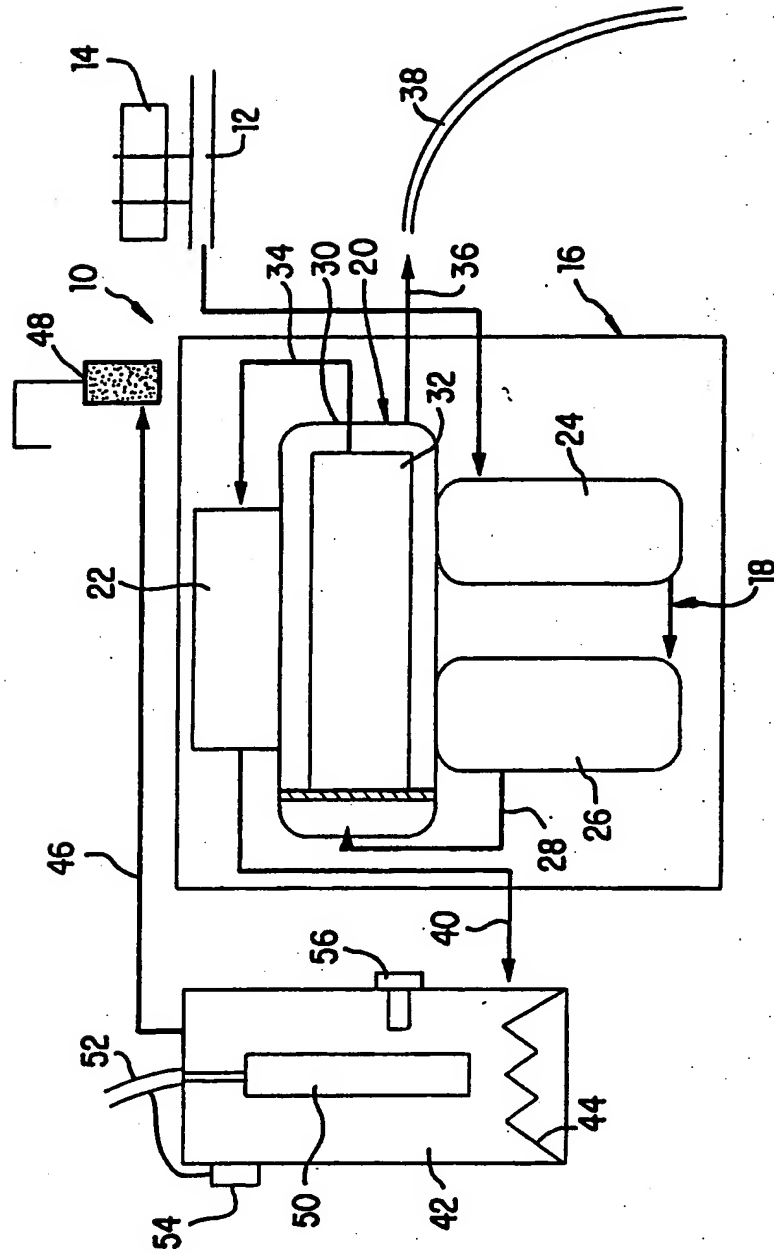
STATEMENT UNDER PCT ARTICLE 19

In reference to the above-identified international patent application, Applicant encloses herewith replacement sheets 9 and 10 to replace sheets 9-11 originally filed with the application. As the result of this amendment, claims 1 and 5 are amended, claims 3, 4, 11 and 12 have been canceled. The remaining claims are unchanged.

With the amendment to claim 1, Applicant's claims are believed entitled to an affirmative statement as to novelty, inventive step and industrial applicability because the prior art of record fails to disclose the system as claimed having an ultra-violet light within a tank and having the tank under pressure by means of a diagram.

Entry of this amendment and publication of the international application with the amended claims and an affirmative statement from the International Preliminary Examining Authority is respectfully requested. If the International Bureau or the Authorized Officer has any additional questions, they are invited to contact Applicant's Agent at the telephone number listed below.

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INTERNATIONAL SEARCH REPORT

 International application No.
 PCT/US94/08520

A. CLASSIFICATION OF SUBJECT MATTER

IPC(5) :BO1D 63/00, 61/00

US CL :Please See Extra Sheet.

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 210/195.2, 257.2, 97, 138, 263, 89, 236, 416.1, 650, 651, 652, 900

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X --- Y	US, A, 4,801,375 (PADILLA) 31 January 1989, entire disclosure.	1,2,7-12 ----- 3,6
Y	US, A, 4,548,716 (BOEVE) 22 October 1985, columns 1-4.	6
Y	US, A, 3,870,033 (FAYLOR ET AL.) 11 March 1975, entire disclosure.	3
Y,P	US, A, 5,302,356 (SHADMAN ET AL.) 12 April 1994, abstract.	1-12
A	US, A, 3,664,365 (RALET ET AL.) 23 May 1972, abstract.	1-12

☒ Further documents are listed in the continuation of Box C.
 ☐ See patent family annex.

* Special categories of cited documents:	T	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
A document defining the general state of the art which is not considered to be part of particular relevance	X*	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
E earlier document published on or after the international filing date	Y*	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
L document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*A*	document member of the same patent family
O document referring to an oral disclosure, use, exhibition or other means		
P document published prior to the international filing date but later than the priority date claimed		

Date of the actual completion of the international search 03 NOVEMBER 1994	Date of mailing of the international search report NOV 18 1994
Name and mailing address of the ISA/US Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231 Facsimile No. (703) 305-3230	Authorized officer: <i>ANA FORTUNA</i> ANA FORTUNA Telephone No. (703) 308-3857

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US94/08520

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US, A, 3,695,296 (SMITH) 03 October 1972, abstract.	4-5
A	US, A, 5,118,422 (COOPER ET AL.) 02 June 1992, abstract.	1-12
A,P	US, A, 5,282,967 (TATSUNO ET AL.) 01 February 1994, abstract.	1-12
A	US, A, 4,990,311 (HIRAI ET AL.) 05 February 1991, abstract.	1-12
A	US, A, 5,112,477 (HAMLIN) 12 May 1992, abstract.	1-12
A	US, A, 5,147,532 (LEEK, JR.) 15 September 1992, abstract.	1-12

INTERNATIONAL SEARCH REPORT

International application No.
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A. CLASSIFICATION OF SUBJECT MATTER:
US CL :

210/195.2, 257.2, 97, 138, 263, 89, 236, 416.1, 650, 651, 652, 900